



Walter Köllner GmbH Spedition Stade-Bützfleth

on 10-01-2023

The assessment covered the
"Core" and "Transport Service Specific" elements
and has been carried out using the
Cefic - SQAS Transport Service Questionnaire and
Guidelines.

| | | | |
|---------------------|--|-----------------------|-------------------------------------|
| Full Report: | 90501 (Active) | Module: | Transport Service |
| Companyname: | Walter Köllner GmbH Spedition | Re-assessment: | 10-01-2023 by Zerbach, T. |
| Location: | Stade-Bützfleth (Germany) | Expires on: | 10-01-2026 |
| Website: | www.spedition-koellner.de | Company type: | Stand-alone, More than 50 employees |

The SQAS assessment report is a statement of facts and this attestation does not express any appreciation of the company's performance. The SQAS Assessment is valid for 3 years.



0. Assessment Information and Scope

0.1. Assessment Information

0.1.1. Assessed Company

| | |
|---|---|
| Company Name | Walter Köllner GmbH Spedition |
| Location (=Town/City) | Stade-Bützfleth |
| Country | DE |
| Postal code | 21683 |
| Postal Address | Obstmarschenweg 73 |
| Phone | +49414195440 |
| Website | www.spedition-koellner.de |
| 1. Contact Person | Jörg Quast |
| Email | j.quast@spedition-koellner.de |
| 2. Contact Person | Silvia Köllner |
| Email | s.koellner@spedition-koellner.de |
| 3. Contact Person | |
| Email | |
| Headquarter's Name | Walter Köllner GmbH Spedition |
| Headquarter's Address | D -21683 Stade - Bützfleth, Obstmarschenweg 31 |
| Type of company | Stand-alone |
| For headquarter, name subsidiaries | |
| For subsidiary, indicate the number of the report of the headquarter | |
| Company Membership: ECTA - FECC - CBA - Febetra - ANLIC - EFTCO - ... | none |
| Total number of employees for all assessed activities | More than 50 |

0.1.2. Assessor

Lead Assessor

| | |
|-------------------|---|
| Name | Zerbach, T. |
| Assessment Agency | SVG Zert GmbH |
| Address | Westerwaldstrasse 1 56337 Arzbach Deutschland |
| Country | DE |
| Phone | +49 2603/932747 |
| Mobile Phone | +49 171/3177892 |
| Email | t.zerbach@t-online.de |

Other Assessors

| | |
|---------|--|
| Name(s) | |
| - | |

Observers

| | |
|---------|--|
| 1. Name | |
| Company | |
| 2. Name | |
| Company | |

0.1.3. Activities Assessed

| | |
|--|---|
| Road transport | Y |
| Tank cleaning | N |
| Transfer Terminal for Container/Vehicle operations | N |
| Warehouse Activities | N |
| Chemical distribution | N |
| Rail transport | N |

0.1.4. Assessment

| | |
|------------------|-------|
| Assessment | |
| First assessment | N |
| Re-assessment | Y |
| 1. Report number | 87842 |
| 2. Report number | |
| 3. Report number | |

0.1.5. Assessment dates and duration

| | Date | Duration (number of days) |
|--|------------|---------------------------|
| Core or ESAD Di assessment | 09-01-2023 | 1 |
| Specific assessment 1 | 10-01-2023 | 1.5 |
| Specific assessment 2 | | |
| Previous Core or ESAD Di assessment | | |

| | | |
|---------------------------------------|--|--|
| Previous Specific assessment 1 | | |
| Previous Specific assessment 2 | | |

Remote assessment

Partial/Full Remote Assessment N

Remote assessment carried out

0.1.6.

Scope of assessment - Core Activity

Core activity is included in this assessment Y

Core activity is covered by other assessment N

Assessment Date

Report Nr

0.2.

Assessed company profile

0.2.1.

Key Contacts

| | Name | Location |
|---------------------------------------|------------------|-----------------|
| General Manager | Jörg Quast | Stade |
| Operations Manager | Kai Schmidt | Stade |
| Quality Assurance Manager | Silvia Köllner | Stade |
| Safety & Health Manager | Kai Schmidt | Stade |
| Environmental Manager | Kai Schmidt | Stade |
| Dangerous Goods Safety Advisor | Jörg Ehlers | Stade |
| | Number and scope | Valid until |
| DGSA Certificate | GB 133 774 | 28-06-2025 |
| Security Advisor | Jörg Ehlers | Stade |

0.2.2.

Systems Certifications

| Type | Accredited Certification Body | Scope | Registration Number | Expiry Date |
|--|--------------------------------------|---------------|----------------------------|--------------------|
| Quality (ISO 9001, etc) | SVG Zert | ISO 9001:2015 | QM 065/01/96 | 15-01-2024 |
| Environment (ISO 14001, etc) | | | | |
| Occupational Health and Safety (ISO 45001, etc) | | | | |
| Business ethics or other CSR system (SA 8000, etc) | | | | |
| Energy (ISO 50001, etc.) | | | | |
| Road Traffic Safety Management Systems (ISO 39001, etc) | | | | |
| Free field(s) to add any other certificate(s) | | | | |
| | | | | |
| | | | | |
| | | | | |

Does your company publish a Corporate Social Responsibility Report? N

Has the company faced charges or been subject to legal proceedings related to business ethics (e.g. corruption and

| | | |
|---------------|---|--|
| | bribery, anti-competitive practices) in the past 5 years? | |
| | Percentage of disabled workers out of total workforce (year n-1) | 2 |
| 0.2.3. | Responsible Care | |
| | Is the company a member of an approved Responsible Care Programme? | N |
| | If yes, which? | |
| | For Other, specify | |
| 0.2.4. | Infrastructure | |
| | Office building | Y |
| | On-site parking of empty vehicles/tanks/containers | Y |
| | On-site parking of loaded vehicles/tanks/containers | Y |
| | Temporary storage of packaged products | N |
| | Fuel storage and refuelling | N |
| | Classified waste and/or plastic waste storage/treatment | N |
| | Railway connection | N |
| | Waterway connection | N |
| 0.2.5. | Incident response | |
| | Description of onsite incident response team and equipment | 2 Ersthelfer (first responders) Keine eigenen weiteren Notfallhelfer - no more emergency responders |
| | Description of the local fire brigade (manpower, equipment, response time) | Freiwillige Feuerwehr; 6 Löschfahrzeuge, 2 Drehleitern, Einsatzfahrzeug; 2 Züge - ca. 100 aktive Personen; <10 Minuten |
| 0.2.6. | Emergency equipment | |
| | Description of emergency equipment that can be used for off-site emergencies. | none |
| 0.2.7. | Valid Operating Licence | |
| | Number | D-03-47-G-0059 |
| | Scope | road haulage |
| | Validity until | 13.12.2032 |
| | Are all activities within the scope of the assessment mentioned in the operating licence? | Y |
| | If not 'Yes' please specify | |
| 0.3. | Transport services | |
| 0.3.1. | Site information | |
| | Operational activities present at the site | Y |
| 0.3.2. | Main Activities | |
| | Road transport service bulk liquids | Y |
| | Road transport service bulk solids | N |
| | Road transport service packed goods | N |
| | Intermodal transport | N |
| | Freight forwarder | N |
| 0.3.3. | Subactivities | |
| | Temporary storage - transfer (includes cross-docking) | N |
| | Maintenance workshop | Y |
| | Transfer Terminal for Container/Vehicle operations | N |
| | Container Depot | N |
| | Fixed storage tanks present (also tanks for fuel station) | N |
| | Heating of loaded tanks | N |
| | Tank and/or trailer repair | N |
| | Empty container and/or trailer handling | N |
| | Full container and/or trailer handling | N |
| | Intermodal transport | N |
| | Shuttle service | N |
| 0.3.4. | Geographical coverage | |
| | National transport | Y |
| | International transport | Y |
| 0.3.5. | Categories of drivers | |
| | Own company drivers | Y |
| | Number of own company drivers | 49 |
| | Fully integrated subcontractors | N |
| | Number of fully integrated drivers | |
| | Non-integrated subcontractors | Y |
| | Spot subcontracting | Y |
| | Number of operators (others than drivers and office personnel) | 2 |
| 0.3.6. | Type of equipment | |
| | Own single Fixed Transport Unit: (Panel or Box Van, Box or Rigid or Curtain-side Truck) | N |
| | Own company trucks | Y |
| | Own company packed goods trailers | N |
| | Own company bulk / tank trailers | Y |

| | | |
|---------------|--|--------|
| | Own company containers | N |
| | Own company container chassis | N |
| 0.3.7. | Subcontractor services includes | |
| | Single Fixed Transport Unit: (Panel or Box Van, Box or Rigid or Curtain-side Truck) | N |
| | Company trucks | N |
| | Company packed goods trailers | N |
| | Company bulk / tank trailers | Y |
| | Company containers | Y |
| | Company container chassis | Y |
| | Temporary storage - transfer (includes cross-docking) | N |
| 0.3.8. | Products | |
| | Tonnage of products transported/handled per year | 276000 |
| | Are dangerous goods transported/handled? | Y |
| | % dangerous goods in regard to the total tonnage | 98 |
| | Does the company handle/transport HCDG goods (ADR 1.10)? | Y |
| | Classes of products transported | |
| | Class 1 : Explosive substances and articles | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Class 2 : Gases | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Flammable gases : tank > 3000l Toxic gases (excl. Aerosols) | |
| | Class 3 : Flammable liquids | Y |
| | Are these products High Consequence Dangerous Goods? | N |
| | Flammable liquids of packaging groups I and II : tanks > 3000l Desensitized explosives | |
| | Class 4.1. : Flammable solids, self-reactive substances, polymerizing substances and solid desensitised explosives | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Desensitised explosives | |
| | Class 4.2. : Substances liable to spontaneous combustion | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Packing group I : Tank > 3000l | |
| | Class 4.3. : Substances which in contact with water, emit flammable gases | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Packing group I : Tank > 3000l | |
| | Class 5.1. : Oxidizing substances | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Oxidizing liquids of packing group I : Tank > 3000l Perchlorates, ammonium nitrate, ammonium nitrate fertilisers and ammonium nitrate emulsions or suspensions or gels : Tanks > 3000l or Bulk > 3000l | |
| | Class 5.2. : Organic peroxides | N |
| | Class 6.1. : Toxic substances | Y |
| | Are these products High Consequence Dangerous Goods? | N |
| | Toxic substances of packing group I | |
| | Class 6.2. : Infectious substances | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Infectious substances of Cat A | |
| | Class 7 : Radioactive material | N |
| | Are these products High Consequence Dangerous Goods? | |
| | Radioactive material see ADR table 1.10.3.1.3 for specs | |
| | Class 8 : Corrosive substances | Y |
| | Are these products High Consequence Dangerous Goods? | N |
| | Packing group I : Tank > 3000l | |
| | Class 9 : Miscellaneous dangerous substances and articles | Y |
| | Transport/handling substances with specific properties | |
| | CMR : Category carcinogenic, mutagenic and reprotoxical | Y |
| | Does the company transport plastics and polymers? | N |
| | Handling of food contact / food / feed products | N |
| | The company chooses to be assessed against the Food(contact) & Feed chapter | |
| | Handling of chlorinated solvents | N |
| | Handling of Pharma products | N |
| | Handling of Cosmetic products | N |
| | Allergen free business | N |
| 0.3.9. | Type of transport companies and tonnage transported | |

| Type of transport | Number of | Tonnage of chemicals | % of chemicals |
|-------------------|-----------|----------------------|----------------|
|-------------------|-----------|----------------------|----------------|

| | subcontracted companies | transported (in tonnes per year) | transported |
|--|-------------------------|----------------------------------|-------------|
| Own transport | | 265139 | 96% |
| FIS (Fully Integrated Subcontractors) | 0 | 0 | 0% |
| NIS - SQAS assessed | 0 | 0 | 0% |
| NIS - non SQAS assessed | 0 | 0 | 0% |
| SPOT - SQAS assessed | 10 | 2448 | 1% |
| SPOT - non SQAS assessed | 10 | 8416 | 3% |
| TOTAL | | 276003 | 100% |

0.3.10.

Digitalization

Does the company have an IT system with the following information in real time?

GPS positioning of transport equipment in:

Trucks Y

Assessor: 100 %

Trailers (empty chassis) N

Assessor: none

Containers (silos, box, tank, etc.) N

Assessor: none

Estimated time of arrival at loading/unloading places Y

Assessor: 100 %

Temperature of the load (in case that the load needs temperature control) N

Does the assessed company share the following information with its customer/logistics suppliers, in case that it is requested?

Sub questions of 0.3.10.1 Y

Assessor: ETA Times

Equipment inspection test certificates including expiration dates N

Latest equipment configuration master data, as for example: N

Tank code, Tank volume, number of compartments, number of manholes, number of baffles, etc.

Can you share with your customers and suppliers (tank cleaning station, warehouse, or bulk terminals) eCD/eCO/ePPL documents through a real-time IT platform? N

| | | | | Y/N |
|---|-----------|---|---------|-----|
| C | 1. | Management System and Responsibility | | |
| C | 1.1. | Management Responsibility | | |
| C | 1.1.1. | Company Policies | | |
| C | 1.1.1.1. | Does the company have a current written policy reflecting management's active commitment to: Safety & Health, Environment, Quality/customers' requirements, Security, Behaviour Based Safety, Prohibition of drugs and Alcohol, Training development, Non-conformance reporting and Corporate Social Responsibility (CSR) requirements? <i>Assessor: Alle Themen sind in Kurzform angesprochen. All topics are addressed in brief.</i> | RC, OCS | 1 |
| C | 1.1.1.2. | In case the company handles/transport or subcontracts handling or transport of pellets: | | |
| C | 1.1.1.2a. | - Has the company signed up to "Operation Clean Sweep" (OCS) programme? | OCS | - |
| C | 1.1.1.2b. | - Has the company asked the applicable subcontractors to sign the OCS programme? | OCS | - |
| C | 1.1.1.3. | Has the company developed a digitalization action plan? | | 0 |
| C | 1.1.1.4. | Are senior managers sufficiently visible and engaged in carrying forward the SHEQ&Sec message? <i>Assessor: GF nimmt an monatlichen Rundgängen teil. Berichte lagen vor. GF participates in monthly tours. Reports were available..</i> | RC, OCS | 1 |
| C | 1.1.1.5. | Does the line management interact and constructively encourage employees to be actively engaged in SHEQ&Sec performance improvement? <i>Assessor: Mitverantwortung für die Überwachung und Umsetzung von Maßnahmen ist gegeben. Joint responsibility for the monitoring and implementation of measures is given.</i> | RC | 1 |
| C | 1.1.2. | Roles & Responsibilities | | |
| C | 1.1.2.1. | Is there an organisation chart and associated job description defining each individual's role within the organisation, including their responsibilities for SHEQ&Sec and CSR? | OCS | 1 |
| C | 1.1.2.2. | Does the organisation chart include a responsible person(s) to manage digitalization? | | 1 |
| C | 1.1.3. | Legislation and other requirements | | |
| C | 1.1.3.1. | Is there proof available that the company stays abreast of all relevant legislation and legislative developments in the area of SHEQ&Sec and CSR and are persons formally designated or a source defined? <i>Assessor: Informationen über Verbände und ein online-System. Verantwortliche Person ist benannt. Register unvollständig. Information about associations and an online system. Responsible person is named. Register incomplete.</i> | RC | 0 |
| C | 1.1.3.2. | Is there a written procedure present which describes how legislative changes as detailed in the register of legal requirements are communicated and implemented in the company? | | 1 |
| C | 1.1.3.3. | Is a regular review made of the system for compliance with legal requirements ? | | 1 |
| C | 1.1.3.4. | Does the Dangerous Goods Safety Advisor produce an annual report to Management on the Companies' activities in the transport of dangerous goods, in accordance with legal requirements and within six months after year end? | | 1 |
| C | 1.1.3.5. | In case the company handles/transport plastic pellets: | | |
| C | 1.1.3.5a. | - is there a process for informing the regulatory bodies (where regulations require it) if a pellet loss happens? | OCS | - |
| C | 1.1.3.5b. | - is there a process for internal and external communication about the pellet loss programme progress? | OCS | - |

| C | 2. | Risk management | | | <input type="text" value="Y/N"/> |
|---|----------|---|-------|--|----------------------------------|
| C | 2.1. | Risk assessment and mitigation measures | | | |
| C | 2.1.1. | Is there a process to assess and document the Safety, Health, Environmental, Security risks and working conditions, related to all activities of the company, considering following aspects? | | | |
| C | 2.1.1.a. | - start-up of new operations/activities (e.g. new products, new routes) ? Assessor: Keine Änderungen der Produkte, Fahrstrecken oder Kundenanforderungen während der letzten 3 Jahre. No changes in products, routes or customer requirements during the last 3 years. | RC | | <input type="text" value="0"/> |
| C | 2.1.1.b. | - change of operations/activities (e.g. new products, new routes) Assessor: Letzte Änderung der Produkte/Routen vor mehr als 3 Jahren. Products/routes last changed more than 3 years ago. | RCimp | | <input type="text" value="0"/> |
| C | 2.1.1.c. | - periodic review of risks on current activities? Assessor: Letzte Überprüfung vor mehr als 24 Monaten dokumentiert. Last check documented more than 24 months ago. | RC | | <input type="text" value="0"/> |
| C | 2.1.1.d. | - identification of potential spillages/losses of plastic pellets in case the company handles/transportes them? | OCS | | <input type="text" value="-"/> |
| C | 2.1.1.e. | - identification of potential generation of plastic dust in case that the company handles/transportes pellets? | OCS | | <input type="text" value="-"/> |
| C | 2.1.2. | Has the company defined and documented the physical boundaries of the OCS programme? | OCS | | <input type="text" value="-"/> |
| C | 2.1.3. | Is there a risk minimization plan to prevent and address spills/losses of plastic pellets? | OCS | | <input type="text" value="-"/> |
| C | 2.1.4. | Are measures taken to control/mitigate all identified risks ? Assessor: Als Bestandteil der Gefährdungsbeurteilungen. As part of the risk assessment. | RC | | <input type="text" value="1"/> |
| C | 2.2. | Safety | | | |
| C | 2.2.1. | Personal Protective Equipment (PPE) | | | |
| C | 2.2.1.1. | Is there a written procedure defining what PPE has to be used under what circumstances? Assessor: Teil des Fahrerhandbuches bzw. von Betriebsanweisungen für das Betriebsgelände. Part of the driver's handbook or operating instructions for the company premises. | RC | | <input type="text" value="1"/> |
| C | 2.2.1.2. | Is the PPE regularly checked (before use and at set intervals) and replaced when required? | | | <input type="text" value="1"/> |
| C | 2.2.1.3. | Are instructions and training provided when category III PPE or other specific precautions are needed and used? Assessor: Nicht Bestandteil der Unterweisungsunterlagen. Not part of the training documents. | RC | | <input type="text" value="0"/> |
| C | 2.3. | Health | | | |
| C | 2.3.1. | Are current Safety Data Sheets, available on site from the manufacturers for all products transported and/or handled? | RC | | <input type="text" value="1"/> |
| C | 2.4. | Security | | | |
| C | 2.4.1. | Protection of property and people | | | |
| C | 2.4.1.1. | Is there a system to monitor entry, exit and to limit access to restricted areas of all personnel and visitors through positive identification? | | | <input type="text" value="0"/> |
| C | 2.4.1.2. | Is there a written procedure in place, requiring documented periodical inspections, to identify breaches in the security of the buildings/premises? Assessor: Monatsbegehungen Gelände, Überprüfung der Datenträger (EDV etc.) mit Protokoll und Maßnahmen. Monthly site inspections, checking data carriers (EDP etc.) with protocol and measures. | RCimp | | <input type="text" value="1"/> |
| C | 2.4.1.3. | Has the company evaluated the risk of unauthorized entrance (including refugees) to company premises, transport equipment, tank cleaning facilities, storage areas or information processing facilities on site? | | | <input type="text" value="0"/> |
| C | 2.4.2. | Protection of data in digital form | | | |
| C | 2.4.2.1. | Has a risk assessment been conducted in the last twelve months, as a minimum frequency, regarding data on customers, products and operations and are measures taken to mitigate identified risks? Assessor: Datenschutz wurde in 2022 verbessert (Firewall, Passwortschutz). Data protection was improved in 2022 (firewall, password protection). | | | <input type="text" value="1"/> |
| C | 2.4.2.2. | Is there an inventory of Information Technology assets containing confidential company data? | | | <input type="text" value="1"/> |
| C | 2.4.2.3. | Is there a proactive maintenance program on Information Technology assets handling information technology? | | | <input type="text" value="1"/> |
| C | 2.4.2.4. | Is the information system audited at least annually by an independent auditor to ensure that all defined criteria are being met? | | | <input type="text" value="0"/> |

| | | | | |
|----------|-------------|--|-----|---|
| C | 2.4.2.5. | Is a system in place to ensure that communication dialogue and information exchange on security issues is appropriate? | | 1 |
| C | 2.4.2.6. | Is a system in place to ensure that response to security threats and incident are defined? | | 1 |
| C | 2.5. | Fair business practices | | |
| C | 2.5.1. | Has the company formalized the fair business practices? | | 1 |
| C | 2.5.2. | Are there mechanisms in place to ensure effective implementation of the anti-corruption and bribery policy (including for instance: conflict of interest, fraud, money laundering)? <i>Assessor: Keine detaillierten Regelungen zu Geschenken, Reisen etc. oder Maßnahmen. No detailed regulations on gifts, travel etc. or measures.</i> | RC | 0 |
| C | 2.5.3. | Are there mechanisms in place to ensure effective implementation of the anti-competitive practices policy? <i>Assessor: Strukturierte Mechanismen für den Umgang mit Richtlinienverstößen (potenzielle Sanktionen) nicht definiert. Structured mechanisms for dealing with policy violations (potential sanctions) not defined.</i> | | 0 |
| C | 2.6. | Environment | | |
| C | 2.6.1. | Is the classification, storing, segregation, identification, protection and final destination of any generated waste, done according to legal regulations and only by legally approved waste management companies? | | 1 |
| C | 2.6.2. | Has the company carried out a risk assessment taking into account the impact of company activities on soil and groundwater contamination? | | 1 |
| C | 2.6.3. | Is plastic waste not sent to landfilling? | OCS | - |
| C | 2.6.4. | Is a programme in place to measure and to reduce pro rata the waste generated by the company activities? <i>Assessor: Kein Programm installiert. No programme installed.</i> | | 0 |

| | | | | Y/N |
|----------|---------------|--|---------|-----|
| C | 3. | Human Resources | | |
| C | 3.1. | Recruitment | | |
| C | 3.1.1. | Is there a written recruitment procedure which takes into account relevant experience, competence and education for all employees, including temporary staff? | | 1 |
| C | 3.1.2. | Have all operating personnel (drivers, operators, etc.) undergone a periodic medical examination where required by law or by the risk assessment of the job? <i>Assessor: Im Rahmen der gesetzlichen Fristen durchgeführt. Angebotsuntersuchungen können abgelehnt werden. Carried out within the legal deadlines. Offer studies can be rejected.</i> | | 0 |
| C | 3.1.3. | Is there a written grievance and disciplinary procedure? <i>Assessor: Kein schriftliches Beschwerdeverfahren vorhanden. There was no written complaints procedure.</i> | | 0 |
| C | 3.2. | Training | | |
| C | 3.2.1. | Is there a training programme in place for all personnel that results in an individual training plan and are records available that the training plan has been implemented? Is the training plan reviewed annually? <i>Assessor: Schulungsmatrix eingerichtet. Training matrix set up.</i> | RC, OCS | 1 |
| C | 3.2.2. | Are the following subjects being trained? | | |
| C | 3.2.2a. | - incident reporting, investigation, and analysis? | | 0 |
| C | 3.2.2b. | - dangerous goods handling? | | 1 |
| C | 3.2.2c. | - specific product or handling needs? | | 1 |
| C | 3.2.2d. | - use of PPE (Personal Protective Equipment)? | RC | 1 |
| C | 3.2.2e. | - company emergency written procedures? | RC | 1 |
| C | 3.2.2f. | - spill prevention and control of liquids and solids not including plastic pellets? | RCimp | 1 |
| C | 3.2.2g. | - Behaviour Based Safety (BBS) principles? <i>Assessor: Letzte Schulung zum BBS war in 2019. Durch Corona-Pandemie innerhalb nächste Schulung erst in 2023 geplant. Last BBS training was in 2019. Due to the corona pandemic, the next training is not planned until 2023.</i> | RC | 1 |
| C | 3.2.2h. | - security awareness proportionate to the risk and their role within the business (Security of information should be included)? | | 0 |
| C | 3.2.2i. | - risk Assessment and risk Management? <i>Assessor: Unvollständige Planung. Incomplete planning.</i> | | 0 |
| C | 3.2.2j. | - communication skills? | | 1 |
| C | 3.2.2k. | - all aspects related to prevention of bribery and corruption? <i>Assessor: Vor dem Assessment für Büromitarbeiter durchgeführt und für andere Mitarbeiter geplant. Conducted prior to the assessment for office workers and planned for other workers.</i> | | 1 |
| C | 3.2.2l. | - training in awareness of fatigue and tiredness? <i>Assessor: Fahrer im gesetzlichen Rahmen, Büromitarbeiter über Informationen im Rahmen BBS-Training. Drivers within the legal framework, office staff about information within the framework of BBS training.</i> | RC | 1 |
| C | 3.2.2m. | - company ethics policy / code of ethics? <i>Assessor: Vor dem Assessment für Büromitarbeiter durchgeführt und für andere Mitarbeiter geplant. Conducted prior to the assessment for office workers and planned for other workers.</i> | | 1 |
| C | 3.2.2n. | awareness and accountability for spill/loss prevention, containment, clean-up, and disposal of plastics pellets, - written procedures to prevent, contain, clean-up and dispose spills/losses, - in case the company handles/transport plastic pellets | OCS | - |
| C | 3.2.3. | Are employees informed about the evolution of the company's OCS programme? | OCS | - |
| C | 3.2.4. | Are employees encouraged to provide feedback on the company's OCS programme to the line management? | OCS | - |
| C | 3.2.5. | Is a first aid training programme defined for identified persons and implemented? <i>Assessor: Programm ist erstellt, konnte aber nicht eingehalten werden. Ausbildung wurde von Ausbildungsveranstalter abgesagt. Program has been created but could not be adhered to. Training was canceled by training organizer.</i> | | 1 |
| C | 3.2.6. | Are variances from the plan effectively followed up? | | 1 |
| C | 3.2.7. | Is the effectiveness of the training checked for each employee? | | 1 |

| | | | | |
|----------|-------------|---|---------|---|
| C | 3.3. | Behaviour Based Safety (BBS) | | |
| C | 3.3.1. | <p>Has a BBS implementation plan, or an established programme, been set up with targets, resourcing and timeline?</p> <p><i>Assessor: Als Anlage zum Mangemthandbuch. Umsetzung zu ca. 15% nachweisbar.</i></p> <p><i>As an attachment to the management manual. Approx. 15% conversion detectable.</i></p> | RC, OCS | 1 |
| C | 3.3.2. | <p>Have the respective responsibilities of all personnel in the implementation of BBS been identified ?</p> | | 1 |
| C | 3.4. | Labour Policy and human rights | | |
| C | 3.4.1. | <p>Are specific mechanisms in place to ensure effective implementation of your company's Career Management and training policy?</p> | | 0 |
| C | 3.4.2. | <p>Are specific mechanisms in place to ensure effective implementation of your company's non-discrimination policy</p> <p><i>Assessor: Keine Hinweise auf Diskriminierung im Unternehmen.</i></p> <p><i>No evidence of discrimination in the company.</i></p> | RC | 1 |
| C | 3.4.3. | <p>Are specific mechanisms in place to ensure effective implementation of the company's policy about child labour?</p> <p><i>Assessor: Jüngste/r Mitarbeiter geboren: 03/2003</i></p> <p><i>Youngest employee born: 03/2003</i></p> | | 1 |
| C | 3.4.4. | <p>Does the company ensure that no forced, bonded or involuntary prison labour is employed?</p> <p><i>Assessor: Transportunternehmer.</i></p> <p><i>Transport company.</i></p> | | - |

| | | | | |
|---|-------|---|---------|----------------------------------|
| C | 4. | On/Off Site Emergency Preparedness and Response | | <input type="text" value="Y/N"/> |
| C | 4.1. | Is there a written plan for dealing with on-site and off-site emergencies and potential crises? <i>Assessor: Notfallplan für potentielle Krisen nicht schriftlich festgelegt. Contingency plan for potential crises not set out in writing.</i> | RC, OCS | <input type="text" value="0"/> |
| C | 4.2. | Does this written plan contain the following information? | | <input type="text" value="1"/> |
| C | 4.2a. | - individual responsibilities? | | <input type="text" value="1"/> |
| C | 4.2b. | - arrangements for 24/7 hours coverage by trained responders? <i>Assessor: 24/7 Notfalltelefon eingerichtet. 24/7 emergency phone installed.</i> | | <input type="text" value="0"/> |
| C | 4.2c. | - a list of the different parties to be informed with their contact details (customers, authorities) ? | | <input type="text" value="1"/> |
| C | 4.2d. | - a written procedure for handling the information towards the neighbourhood, the press and other interested parties of serious accidents/incidents that happened on site? <i>Assessor: Information über die zuständigen Behörden. Information pby the local legislations.</i> | | <input type="text" value="1"/> |
| C | 4.2e. | - In case the company handles/transport plastic pellets, is there a documented procedure(s) in place including: - Instructions for managing the clean-up, the use of the clean-up equipment and disposal of the pellets after a spill/loss to prevent impact to the environment? - A clear definition for an acceptable cleaned up scenario after the incident? | OCS | <input type="text" value="-"/> |
| C | 4.3. | Is the emergency equipment maintained, tested or checked on a regular basis? | | <input type="text" value="1"/> |
| C | 4.4. | Has there been a comprehensive test of the emergency plan for on-site and offsite emergencies during the past 12 months? <i>Assessor: Keine Notfallübung innerhalb des Unternehmens. No emergency exercise within the company.</i> | OCS | <input type="text" value="0"/> |
| C | 4.5. | Is there a documented business continuity plan that includes IT system outages and does this plan contain the customer contacts to be informed? | | <input type="text" value="0"/> |

| | | | Y/N |
|---|---------|---|--------------|
| C | 5. | Performance Analysis and Management Review | |
| C | 5.1. | Non-conformance reporting, investigation, analysis, and corrective action | |
| C | 5.1.1. | Is there a documented system in place for recording non-conformances regarding? | |
| C | 5.1.1a. | - accidents & incidents? <i>Assessor: SOP erstellt. Aufzeichnungen zu Versicherungsfällen vorhanden. SOP created. Records of insurance claims available.</i> | RC, OCS 1 |
| C | 5.1.1b. | - breaches of security and threats? | RCimp 0 |
| C | 5.1.1c. | - unsafe behaviour & unsafe conditions? | RCimp 1 |
| C | 5.1.1d. | - regulatory compliance? <i>Assessor: Bisher nicht schriftlich festgelegt. So far not specified in writing.</i> | 0 |
| C | 5.1.1e. | - product contamination? | RC 1 |
| C | 5.1.1f. | - product discrepancies and short shipments? | 1 |
| C | 5.1.1g. | - corruption & bribery? <i>Assessor: Keine Vorkommnisse in den letzten Jahren. No incidents in recent years.</i> | 0 |
| C | 5.1.1h. | - grievance and disciplinary findings? <i>Assessor: Aufzeichnungen sind vertraulich. Records are confidential.</i> | 0 |
| C | 5.1.2. | Is a detailed report on non-compliances provided to the responsible management, containing immediate cause, root cause and recommendations for corrective actions to prevent recurrence? | RC, OCS 1 |
| C | 5.1.3. | After an incident/accident are the employees and contractors concerned informed and if necessary trained with the aid of a Root Cause analysis? | RCimp 1 |
| C | 5.1.4. | Is there a procedure in place to inform the customer promptly of all non-conformances involving his shipments/products? <i>Assessor: Umgehende Information an den Kunden ist die Regel. Immediate information to the customer is the rule.</i> | OCS 1 |
| C | 5.1.5. | Is the DGSA involved after an incident where dangerous goods were involved? | 1 |
| C | 5.2. | SHEQ&Sec & CSR Objectives and Trend Analysis | |
| C | 5.2.1. | Is there a process in place to monitor and analyse SHEQ&Sec & CSR data to identify trends, to set objectives and is there an action plan in place to achieve these objectives? <i>Assessor: Sicherheits- und CSR-Kennzahlen sind nicht festgelegt. Sec and CSR data are not fixed.</i> | RC 0 |
| C | 5.2.2. | In case the company handles/transport plastic pellets, are performance objectives established? | OCS - |
| C | 5.2.3. | In case the company handles/transport plastic pellets, does the company have internal KPIs on: | |
| C | 5.2.3a. | - the % of identified risk locations where the risk has been managed | OCS - |
| C | 5.2.3b. | - number of incidents and volume of any spill of plastic pellets, or granules, within the physical custody of a company, estimated to be greater than 0.5 l or 0.5 kilograms per incident. | OCS - |
| C | 5.2.3c. | - the estimation of pellet loss | OCS - |
| C | 5.2.3d. | - percentage of employees and contractors dealing with pellets that received operating training | OCS - |
| C | 5.2.3e. | - % of programmed/planned OCS inspection/self-assessment that have been executed | OCS - |
| C | 5.2.3f. | - % of relevant pellet handling business partners with whom the company promoted pellet loss awareness and the OCS programme? | OCS - |
| C | 5.2.3g. | - % of contract(s) where there is an OCS clause with relevant pellet handling suppliers? | OCS - |
| C | 5.2.4. | Has the annual Health, Safety, Security, Environment & CSR plan of the company been reviewed against the applicable Responsible Care Programme? | RC 0 |
| C | 5.2.5. | Does the company promote the principles of Responsible Care to logistic partners? <i>Assessor: Unternehmen nimmt selbst nicht an einem entsprechenden Programm teil. The company does not take part in a corresponding program itself.</i> | RC 0 |
| C | 5.3. | Internal Audit | |
| C | 5.3.1. | Is there a documented programme for internal auditing of all areas referred to in SQAS and covering compliance with applicable legislation and permits? <i>Assessor: Es fehlen die Themen U, SG und CSR.</i> | RC, OCS 0 |

| | | | | |
|----------|---------------|--|-----------|---|
| C | 5.3.2. | <u>The topics U, SG and CSR are missing.</u> For non-conformances identified in the audits, are action plans developed and are corrective actions taken? <i>Assessor: Nicht für alle Schwachstellen umgesetzt. Not implemented for all vulnerabilities.</i> | RCimp | 0 |
| C | 5.3.3. | Do those carrying out auditing have training and/or competence in auditing and evaluation techniques? <i>Assessor: Externer Auditor mit entsprechender Qualifikation. External auditor with appropriate qualification.</i> | | 1 |
| C | 5.3.4. | Are safety walkabouts carried out and documented by appropriate managers on a periodical basis? <i>Assessor: Monatliche Sicherheitsbegehungen. Monthly safety walks done.</i> | RCimp | 1 |
| C | 5.4. | Management Review Meetings | | |
| C | 5.4.1. | Is a formal management review meeting held at least once a year to review the management system that includes, as minimum, the following inputs? | RC | |
| C | 5.4.1a. | - the status of actions of previous Management review meetings | | 1 |
| C | 5.4.1b. | - the DGSA Annual report (if applicable) | | 1 |
| C | 5.4.1c. | - the performance of subcontractors | | 1 |
| C | 5.4.1d. | - the effectiveness of the training programme | | 1 |
| C | 5.4.1e. | - the results of internal and external audits | OCS | 1 |
| C | 5.4.1f. | - the monitoring of trends of SHEQ, Sec & CSR KPIs, BBS KPIs and Responsible Care KPIs (if applicable) <i>Assessor: Trends teilweise nicht ermittelt, da Ziele erst neu definiert. Trends partly not determined, since goals have only just been redefined.</i> | OCS | 1 |
| C | 5.4.1g. | - the extent of which SHEQ, Sec & CSR objectives have been met | | 1 |
| C | 5.4.1h. | - the effectiveness of the programmes about emission reduction (including GHG emissions) required in Transport Service, Tank Cleaning or Warehouse modules, in case they are applicable? <i>Assessor: Emissionen erstmals in 2021 berechnet. Emissions calculated for the first time in 2021.</i> | | 0 |
| C | 5.4.1i. | - the effectiveness of the programme about waste reduction required by question 2.6.4. <i>Assessor: Kein Programm installiert. No programme installed.</i> | | 0 |
| C | 5.4.1j. | - the outcome of the last SQAS assessment (if applicable) | | 0 |
| C | 5.4.1k. | - the outcome of the emergency response drills | | 0 |
| C | 5.4.1l. | - the status of compliance with regulations | | 1 |
| C | 5.4.1m. | - recommendation(s) for continuous improvements | | 1 |
| C | 5.4.2. | Did the senior management consider the recommendations of 5.4.1. and define an improvement action plan with allocated actions and due dates? <i>Assessor: Teilweise keine vollständigen Planungen erfolgt. Termine oder Verantwortliche fehlen. Partially no complete planning takes place. Deadlines or responsible persons are missing.</i> | | 0 |
| C | 5.4.3. | Does senior management monitor progress versus targets on SHEQ&Sec & CSR matters at relevant management meetings? | RCimp | 1 |
| C | 5.4.4. | Is there evidence that learning points from SHEQ&Sec issues are shared with the workforce? | RCimp | 0 |

| | | | Y/N |
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| 6. | Management of Subcontractors | | |
| 6.1. | Subcontracting services | | |
| 6.1.1. | Subcontracting policy | | |
| 6.1.1.1. | Does the assessed company have a written process for subcontracting road transport and road transport related services (including the selection process, performance assessment and monitoring)? <i>Assessor: In mehreren Verfahrensbeschreibungen schriftlich festgelegt. Written down in several process descriptions.</i> | RC | 1 |
| 6.1.1.2. | Are the requirements and restrictions of the customer chemical companies (including spot subcontracting) reflected in the subcontracting written process? | | 1 |
| 6.1.1.3. | In case the subcontractors transport plastics pellets, is there a documented process defining and choosing the logistics solution and selecting the service partners, including a risk assessment covering OCS requirements? | OCS | - |
| 6.1.2. | Fully integrated road transport subcontractors/drivers (FIS) | | |
| 6.1.2.1. | Are the fully integrated subcontractors used by the assessed company listed in an approved subcontractors/drivers list? | | - |
| 6.1.2.2. | Are written procedures in place to ensure that fully integrated subcontractors/drivers are covered in each part of the company's management system? | RC | - |
| 6.1.3. | Non-integrated road transport subcontractors | | |
| 6.1.3.1. | Are the non-integrated subcontractors used by the assessed company listed in an approved subcontractors/drivers list? | RC | 1 |
| 6.1.3.2. | Does the assessed company hand out a driver manual as defined in 11.3.1 to drivers of non-integrated subcontractors or check that the subcontractor's handbook is consistent with its own? | OCS | 0 |
| 6.1.4. | Unplanned spot services by road transport subcontractors | | |
| 6.1.4.1. | When the assessed company has to deploy unplanned resources in the supply chain, are the minimum service requirements documented and requested of these road transport companies? | RCimp | 1 |
| 6.2. | Performance monitoring of subcontractors | | |
| 6.2.1. | Performance criteria for Road Transport subcontractors | | |
| 6.2.1.1. | Is there a written agreement with each road transport subcontractor (FIS and non-integrated subcontractors) that contains the requirements and standards relating to the following criteria: | | |
| 6.2.1.1a. | - compliance with all relevant national and international regulations and laws? - operating licenses consistent with the activities and operations? - drivers/operators holding valid ADR licenses/ certificates? - working/driving hours compliance and keeping records? - drugs and alcohol policy? - appointment and fulfilment of the duties of the DGSA? - vehicle inspection and testing? - adequate driver selection? - comprehensive insurance coverage? - PPE/emergency equipment? - security provisions as required by applicable legislation? <i>Assessor: Vor dem ersten Einsatz abgefragt und schriftlich zu bestätigen. Asked about before the first use and to be confirmed in writing.</i> | | 1 |
| 6.2.1.1b. | - hose monitoring and testing? | RCimp | 1 |
| 6.2.1.1c. | - implementation of Behaviour Based Safety (BBS) on driving and loading/unloading according to the Cefic BBS Guidelines for safe driving and (un)loading? | RC | 1 |
| 6.2.1.1d. | - Journey Plans including safe and secure vehicle parking? | | 0 |
| 6.2.1.1e. | - carry forward transport and customs documents to all service partners in the chain, including EIR (Equipment Interchange Receipt) if required? | | 0 |
| 6.2.1.1f. | - use of emergency number/emergency response capabilities? | RC | 1 |
| 6.2.1.1g. | - vehicle preventive maintenance and statutory inspection of transport equipment? | RCimp | 1 |
| 6.2.1.1h. | - use of approved tank cleaning stations? | RC, OCS | 1 |
| 6.2.1.1i. | - compliance with customers site requirements? | | 1 |
| 6.2.1.1j. | - adequate driver training criteria (e.g., product specific training, legal training, customer specific training)? | RC | 1 |
| 6.2.1.1k. | - sub-subcontracting of haulage? | | 1 |
| 6.2.1.1l. | - handling and reporting of non-conformances (transport events)? | RCimp | 1 |
| 6.2.1.1m. | - confidentiality of operational and commercial data? | | 1 |

| | | | |
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| 6.2.1.1n. | - a system to calculate transport Greenhouse Gas (GHG) emissions based on the requirements of section 9 of this questionnaire? | | 1 |
| 6.2.2. | Performance monitoring process | | |
| 6.2.2.1. | Has the company a documented process for the evaluation and performance monitoring of all its service partners? <i>Assessor: Verfahren schriftlich festgelegt. Procedure laid down in writing.</i> | | 1 |
| 6.2.2.2. | For all service partners who are SQAS assessed: are they evaluated in their performance on the basis of the following packages: | | |
| 6.2.2.2a. | - SQAS Transport Service for all non-integrated road transport subcontractors? <i>Assessor: Die Ergebnisse der SQAS-Bewertungen werden abgefragt. The results of the SQAS assessments are queried.</i> | RCimp | 1 |
| 6.2.2.2b. | - SQAS Warehouse for warehousing services? <i>Assessor: Keine Lagerung durch Dienstleister. No storage by service providers.</i> | | - |
| 6.2.2.2c. | - SQAS Rail for Rail carriers? | | - |
| 6.2.2.2d. | - SQAS Cleaning for cleaning stations? | RC | 0 |
| 6.2.2.2e. | - When the SQAS reports for the cleaning stations are analysed, have the questions related to entry into a confined space been checked by the transport company? <i>Assessor: Keine Prüfung der SQAS-Assessments von Tankreinigungsanlagen. No examination of the SQAS assessments of tank cleaning systems.</i> | | - |
| 6.2.2.3. | When non-integrated road transport subcontractors are not SQAS assessed, is the company using alternative assessment systems to evaluate their performance? <i>Assessor: Jährliche Bewertung ist beschrieben und wird dokumentiert. Annual assessment is described and documented.</i> | | 1 |
| 6.2.2.4. | When SQAS packages are not used, are the following criteria taken into account to evaluate the non-integrated road transport subcontractors? | | |
| 6.2.2.4a. | - legal requirements as defined in section 6.2.1.1.a. <i>Assessor: Es fehlen Schulungsnachweise der Gefahrgutbeauftragten. There are no training certificates for the DGSA.</i> | | 1 |
| 6.2.2.4b. | - implementation of Behaviour Based Safety (BBS) on driving and loading/unloading according to the Cefic BBS Guidelines for safe driving and (un)loading <i>Assessor: Selbstauskunft. Self-disclosure.</i> | RC | 1 |
| 6.2.2.4c. | - drugs and alcohol policy <i>Assessor: Selbstauskunft. Self-disclosure.</i> | | 1 |
| 6.2.2.4d. | - Journey Plans including safe and secure vehicle parking <i>Assessor: Werden nicht angefordert. Are not requested.</i> | | 0 |
| 6.2.2.4e. | - carry forward transport and customs documents to all service partners in the chain, including the EIR (Equipment Interchange Receipt) if required <i>Assessor: Nicht Bestandteil derSelbstauskunft. Not part of the self-disclosure.</i> | | 0 |
| 6.2.2.4f. | - use of emergency number / emergency response capabilities <i>Assessor: Selbstauskunft. Self-disclosure.</i> | RC | 1 |
| 6.2.2.4g. | - vehicle preventive maintenance and statutory inspection of transport equipment <i>Assessor: Kein Nachweis für Kontrollen vorhanden. No evidence of checks available.</i> | RCimp | 0 |
| 6.2.2.4h. | - use of approved tank cleaning stations <i>Assessor: Selbstauskunft. Self-disclosure.</i> | RC | 1 |
| 6.2.2.4i. | - compliance with customers' site requirements <i>Assessor: Selbstauskunft. Self-disclosure.</i> | | 1 |
| 6.2.2.4j. | - adequate driver training criteria (e.g. product specific training, legal training, customer specific training) <i>Assessor: Keine Nachweise zu Stichprobenkontrollen vorhanden. No evidence of random checks available.</i> | RC | 0 |
| 6.2.2.4k. | - handling and reporting of non-conformances (transport events) <i>Assessor: Selbstauskunft. Self-disclosure.</i> | RCimp, OCS | 1 |
| 6.2.2.4l. | - confidentiality of operational and commercial data <i>Assessor: Selbstauskunft. Self-disclosure.</i> | | 1 |
| 6.2.2.4m. | - security provisions as required by applicable legislation | | 1 |

| | | | |
|-----------------|--|-----|---|
| | Assessor: Selbstauskunft. Self-disclosure. | | |
| 6.2.2.4n. | - has a system to calculate on transport GHG emissions based on the requirements of section 9 of this questionnaire ? Assessor: Selbstauskunft. Self-disclosure. | | 1 |
| 6.2.2.4o. | - OCS requirements in case you are subcontracting transport of plastics? | OCS | - |
| 6.2.2.5. | Does the assessed company retain documented evidence that complies with the performance criteria? | | |
| 6.2.2.5a. | - was verified before the agreement, was signed with each road transport subcontractor and was repeated regularly? Assessor: Soweit Belege angefordert werden, lagen diese vor. und werden mindestens bis zur nächsten Überwachung aufbewahrt. As far as documents are requested, these were available. and will be kept at least until the next monitoring. | | 1 |
| 6.2.2.5b. | - is followed-up on a regular basis through dialogue and improvement action programmes with road transport subcontractors selected based on performance review? Assessor: Keine regelmäßigen Gespräche mit allen Subunternehmern. No regular discussions with all subcontractors. | | 0 |

| | | Y/N |
|-----------------|---|-----|
| 7. | Equipment: Specification, Inspection, Maintenance, and Calibration | |
| 7.1. | Equipment specification | |
| 7.1.1. | Is there a written specification for the purchase or lease of each vehicle/tank/tank container and associated equipment including the following items: | |
| 7.1.1.a. | - air conditioning? | 1 |
| 7.1.1.b. | - roll-over detection warning system? <i>Assessor: ESC installiert. ESC installed.</i> | - |
| 7.1.1.c. | - interlocking of the fifth wheel coupling? | 0 |
| 7.1.1.d. | - Electronic Stability Control? | 1 |
| 7.1.1.e. | - retro-reflective back and side markings? | 1 |
| 7.1.1.f. | - forward distance alert system? | 1 |
| 7.1.1.g. | - lane departure system? | 1 |
| 7.1.1.h. | - driver falling asleep guarding systems? <i>Assessor: In Fahrzeugen von Hersteller VOLVO nicht enthalten.</i> | 0 |
| 7.1.1.i. | - blocking system for communication during rolling? | 0 |
| 7.1.1.j. | - safe access to all loading/unloading equipment? <i>Assessor: Laufsteg, Sicherheitsscherengeländer, Bedienpodest, Aufstiegsleiter. Catwalk, safety scissor railing, operating platform, ladder</i> | 1 |
| 7.1.1.k. | - truck management system? | 1 |
| 7.1.1.l. | - remote controlled bottom valve when liquids are transported? | 1 |
| 7.1.1.m. | - ground operated vent valve? | 1 |
| 7.1.2. | Is a DIN 80 PN 10 flange available between the outlet valve and the cap of every (un)loading connection? | 1 |
| 7.2. | Equipment Inspection, Maintenance and Calibration | |
| 7.2.1. | Equipment Inspection and Maintenance | |
| 7.2.1.1. | Is there a documented programme for preventive inspection and maintenance covering the following items: | |
| 7.2.1.1.a. | - tractor units? <i>Assessor: Durch Hersteller autorisierte Werkstätten nach Vorgabe der Bordelektronik. Workshops authorized by the manufacturer according to the specifications of the on-board electronics.</i> | 1 |
| 7.2.1.1.b. | - trailers? <i>Assessor: Jährliche Wartung. Terminüberwachung durch Disposition. Yearly maintenance. Deadline monitoring by disposition.</i> | 1 |
| 7.2.1.1.c. | - tanks/tank containers? | 1 |
| 7.2.1.1.d. | - pumps? | 1 |
| 7.2.1.1.e. | - compressors? | 1 |
| 7.2.1.1.f. | - tyres? | 1 |
| 7.2.1.1.g. | - earthing points? | 0 |
| 7.2.1.1.h. | - twist locks? | - |
| 7.2.1.1.i. | - cargo securing devices and materials? | - |
| 7.2.1.1.j. | - ADR equipment? | 1 |
| 7.2.1.1.k. | - valves and relief valves? | 1 |
| 7.2.1.1.l. | - couplings? | 1 |
| 7.2.1.1.m. | - gaskets/seals? | 1 |
| 7.2.1.1.n. | - gauges? | 1 |
| 7.2.1.1.o. | - temperature control units? <i>Assessor: Nicht installiert. Not installed.</i> | - |
| 7.2.1.2. | Is there a written procedure and register in place for the periodic (at least annual) testing of flexible hoses, which includes the following elements: | |
| 7.2.1.2.a. | - compatibility of the hose and cargo? <i>Assessor: Nur ein Schlauchmaterial im Einsatz. Only one hose material in use.</i> | 1 |
| 7.2.1.2.b. | - identification of different types and numbering? | 1 |
| 7.2.1.2.c. | - periodic inspection and recording of results? | 1 |
| 7.2.1.2.d. | - periodic pressure testing? | 1 |
| 7.2.1.2.e. | - electrical conductivity? | 1 |
| 7.2.2. | Calibration of Measuring Equipment | |
| 7.2.2.1. | Has the assessed company a register of measuring equipment to be calibrated? | 1 |
| 7.2.2.2. | Are written calibration procedures and records in place, including the identification of the following measuring equipment? | |

| | | |
|-----------|--|---|
| 7.2.2.2a. | - oxygen meters? <i>Assessor: Am Standort nicht vorhanden. Not available at the site.</i> | - |
| 7.2.2.2b. | - flammable gas detectors? <i>Assessor: Am Standort nicht vorhanden. Not available at the site.</i> | - |
| 7.2.2.2c. | - instruments for measuring concentrations of toxic gases and vapours? <i>Assessor: Am Standort nicht vorhanden. Not available at the site.</i> | - |
| 7.2.2.2d. | - temperature gauges? <i>Assessor: Am Standort nicht vorhanden. Not available at the site.</i> | - |
| 7.2.2.2e. | - tyre pressure gauges? | 1 |
| 7.2.2.2f. | - torque wrenches for tightening wheel nuts? | 1 |

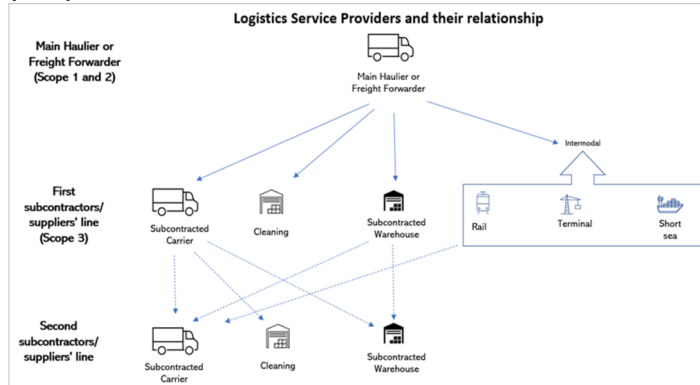
| | | Y/N |
|-----------------|--|------------|
| 8. | Behaviour Based Safety (BBS or equivalent programme) | |
| 8.1. | Behaviour based safety for safe driving | |
| 8.1.1. | BBS Training for Safe Driving | |
| 8.1.1.1. | Is BBS taken into account when reviewing the training requirements of managers and planners? | 0 |
| 8.1.1.2. | Have persons been formally selected and designated as qualified BBS trainers, in accordance with the requirements as defined in the Cefic/ECTA BBS guidelines? | 1 |
| 8.1.1.3. | Has the BBS driver training content (or equivalent system) and format (based on observation, coaching and interactive communication) been developed and is it in line with the Cefic/ECTA BBS guidelines? | RC 1 |
| 8.1.1.4. | Has the BBS driver training frequency been defined and is it implemented? <i>Assessor: Das Schulungsintervall wurde aufgrund der Corona-Pandemie auf 4 Jahre verlängert. The training interval was extended to 4 years due to the corona pandemic.</i> | 1 |
| 8.1.1.5. | Is a personal BBS-record kept on each driver, including the fully integrated subcontractors, with the observations made on their behavioural skills? <i>Assessor: Beispiele im Assessment eingesehen. Examples seen in the assessment.</i> | 1 |
| 8.1.2. | BBS Results, Analysis and Monitoring | |
| 8.1.2.1. | Are individual results from the BBS training communicated to the driver, preventive actions agreed, recorded and followed-up? | RC 1 |
| 8.1.2.2. | Are key performance indicators identified and measured, such as: | |
| 8.1.2.2a. | - accidents and incidents whilst in transit? <i>Assessor: Gemeinsame Erfassung von Unfällen/Vorfällen während des Transports, am Beladeort und am Entladeort. Joint recording of accidents/incidents during transport, At the loading point and at the unloading point.</i> | 0 |
| 8.1.2.2b. | - accidents and incidents at loading points? <i>Assessor: Gemeinsame Erfassung von Unfällen/Vorfällen während des Transports, am Beladeort und am Entladeort. Joint recording of accidents/incidents during transport, At the loading point and at the unloading point.</i> | OCS 0 |
| 8.1.2.2c. | - accidents and incidents at unloading points? <i>Assessor: Gemeinsame Erfassung von Unfällen/Vorfällen während des Transports, am Beladeort und am Entladeort. Joint recording of accidents/incidents during transport, At the loading point and at the unloading point.</i> | OCS 0 |
| 8.1.2.2d. | - Lost Time Injury Rate? <i>Assessor: Basiszahlen für die Berechnung der Quote können ermittelt werden, wurden aber nicht für die Ermittlung der Quote genutzt. Base numbers for calculating the quota can be determined, but were not used to determine the quota.</i> | 0 |
| 8.1.2.2e. | - Personal Injury Rate? <i>Assessor: Basiszahlen für die Berechnung der Quote können ermittelt werden, wurden aber nicht für die Ermittlung der Quote genutzt. Base numbers for calculating the quota can be determined, but were not used to determine the quota.</i> | 0 |
| 8.1.2.2f. | - average days of training per year? | 0 |
| 8.1.2.2g. | - damages? | 1 |
| 8.1.2.3. | Is an implementation programme in place for the observation and spot checking of drivers in relation to the performance of the driver? Are daily check lists recorded by drivers included in the spot checks? | 1 |
| 8.1.2.4. | Are the results and learning outcomes from BBS reflected in the refresher programme? <i>Assessor: Schulung wurde durch Corona-Pandemie verschoben. Training was postponed due to the corona pandemic.</i> | RCimp 0 |
| 8.2. | Best Practice Guidelines for Safe (Un)Loading of Road Freight Vehicles | |
| 8.2.1. | Has the management also adopted the Cefic/ECTA guidelines on "Best Practice Guidelines for Safe (Un)Loading of Road Freight Vehicles"? | RC 1 |
| 8.3. | Awareness of all service partners | |
| 8.3.1. | Does the company promote and monitor the implementation of the following BBS principles with its service partners: | |
| 8.3.1a. | - driving? | 0 |
| 8.3.1b. | - loading? | 0 |
| 8.3.1c. | - unloading? | 0 |

8.3.1d. - cleaning?

0

Y/N

9. Measurement and Management of transport greenhouse gas (GHG) emissions



9.1. Scope 1: Emission measurement of vehicles that are owned or controlled by the company.

9.1.1. Does the assessed company have a system to collect data enabling energy (fuel)-based calculation of its transport GHG emissions for all trucks whose fuel is paid for by the company? 1
Assessor: Daten sind für alle Fahrzeuge verfügbar. Data are available for all vehicles.

9.1.2. Does the assessed company have a system to annually calculate transport GHG emission intensity (expressed as kg CO2 equivalent per tkm) using the data collected in question 9.1.1.1? 1

9.1.3. Does the company know the fuel consumed by transport/business category on an annual basis? 1

9.1.4. Did the company calculate the emissions TTW from the fuel consumed during the last year using the formula: $kg\ CO_2e = \sum (fuel\ (litres) \times TTW\ fuel\ emission\ factor\ (kg\ CO_2e/ litres\ fuel))$? 1

9.1.5. In case the company store/handles goods on own site or at own intermediate step prior to reaching the final destination: are TTW emissions in kg CO2e from fuel consumed calculated? -
Assessor: Ausschließlich Direkttransporte. Exclusively direct transport.

9.1.6. Did the company calculate the Scope 1 emissions? 1
 kg CO2e = Addition of questions 9.1.4. and 9.1.5.

9.2. Scope 2: Emissions from electricity

9.2.1. Did the company measure the electricity purchased for use in its logistics sites, electric vehicles or other owned assets requiring electricity? 1

9.2.2. Did the company calculate the Scope 2 emissions from the purchased electricity WTT mentioned in 9.2.1 during the last year with the formula: $kg\ CO_2e = \sum (electricity\ (kWh) \times electricity\ emission\ factor\ (kg\ CO_2e/ kWh\ electricity))$ 1

9.3. Scope 3

9.3.1. Fully Integrated subcontractors and Non-Integrated subcontractors

9.3.1.1. Does the assessed company have a system to collect data enabling activity-based calculation of the transport WTW GHG emissions from their Fully Integrated Subcontractors (FIS) and or Non-Integrated subcontractors (NIS)? 0

9.3.1.2. Does the company calculate the WTW emissions from FIS and/or NIS? 0

9.3.2. Intermodal/ Multimodal

9.3.2.1. Does the assessed company have a system to calculate activity based WTW GHG emissions of the intermodal transportation? -

9.3.2.2. Does the assessed company have a system to collect data enabling calculation of the transport GHG emissions of the road, rail and waterborne services legs? -

9.3.2.3. Does the assessed company calculate the GHG emissions of all legs involved? -

9.3.3. Tank cleaning stations

9.3.3.1. In case the company transport liquid/solid bulk and use tank cleaning 0

| | | |
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| | stations, are WTW GHG emissions corresponding to the cleanings calculated? kg CO2e = Number of cleanings made in the last year x kg CO2e/cleaning | |
| 9.3.4. | Subcontracted storing/handling of goods | |
| 9.3.4.1. | In case that the company subcontracts storing/handling of goods at any intermediate step prior to reaching the final destination: are WTW emissions in kg CO2e from energy consumed calculated? | - |
| 9.3.5. | Production and Distribution of fuels burned in Scope 1 | |
| 9.3.5.1. | Did the company calculate the absolute emissions WTT from the fuel consumed during the last year using the formula: kg CO2e = Σ (fuel (litters) x WTT fuel emission factor (kg CO2e/ litters fuel))? | 0 |
| 9.3.6. | Calculation of Scope 3 emissions | |
| 9.3.6.1. | Did the company calculate Emissions of Scope 3 with the following formula? kg CO2e= Addition of questions of subsection 9.3 | 0 |
| 9.4. | Calculation of Total emissions (Scope 1, 2 and 3) | |
| 9.4.1. | Did the company calculate the Total emissions during last year by addition of Scope 1, 2 and 3 emissions? | 0 |
| 9.5. | Calculation of Tonnes-km | |
| 9.5.1. | Does the company know the tonnes of product transported and Kilometers driven (both laden and empty) associated with each category specified in 9.1.3.? | 1 |
| 9.5.2. | Did the company calculate the tonnes-Kilometers (tkm) during the last year by transport order and by category with the formula? Σ tkm by transport category = (ton shipment 1 x km shipment 1) + (ton shipment 2 x km shipment 2) +.... + (ton shipment n x km shipment n) | 1 |
| 9.6. | Calculation of emission intensity | |
| 9.6.1. | Did the company calculate the emission intensity by transport category during the last year using the formula: Emission intensity factor by transport category (g CO2e/tkm) = Total emissions obtained from 9.4.1. by category x 1000 / tkm by category calculated in 9.5.2. | 1 |
| 9.7. | Consolidating and reporting emissions | |
| 9.7.1. | Does the company consolidate in a report the total annual emissions in the following form? - Scope 1 (question 9.1.6.) - Scope 2 (question 9.2.2.) - Scope 3 (question 9.3.6.1.) - Total emissions (question 9.4.1.) - Emission intensity by transport category (question 9.6.1.) | 0 |
| 9.8. | Training | |
| 9.8.1. | Are transport planners trained in payload optimization and empty mileage reduction? | 1 |
| 9.9. | Reducing emissions | |
| 9.9.1. | Defining strategy, objectives and programme | |
| 9.9.1.1. | Has the company defined a strategy to reduce its transport GHG emissions, based on the measurements made in 9.7.1.? | 1 |
| 9.9.1.2. | Has the company defined the objectives to reduce its emission intensity, based on the measurements made in 9.6.1. in a multiannual programme? | 0 |
| 9.9.1.3. | Do the objectives include a reduction of the total emissions as calculated in 9.4.1., in the multiannual programme? | 0 |
| 9.9.1.4. | Does the assessed company have a multiannual programme to reach the objectives mentioned in 9.9.1.2 or 9.9.1.3? | 0 |
| 9.9.1.5. | Does the company include multimodal transportation options to reduce GHG emissions? | 0 |

| | | | Y/N |
|----------------|--|-------|-----|
| 10. | Security | | |
| 10.1. | Security in transport | | |
| 10.1.1. | Does the company implement measures to ensure the security of the products and transport information throughout the chain of its service partners, including at: | | |
| 10.1.1.a. | - depots and vehicle parking? <i>Assessor: Keine Nutzung von Depots oder bewachten Parkplätzen. No use of depots or guarded parking lots.</i> | | - |
| 10.1.1.b. | - cleaning stations? | RCimp | 0 |
| 10.1.1.c. | - at the interface with any subcontracted road transport company? | | 1 |
| 10.1.1.d. | - at the interface with intermodal transport? | RCimp | - |
| 10.1.2. | Is the handover/transfer of security, with the associated responsibilities, signed and documented? | | 0 |
| 10.1.3. | Are devices, equipment or arrangements to prevent the theft of vehicles applied and are measures taken to ensure that these are operational and effective at all times? | | 1 |
| 10.1.4. | Are truck cabs fitted with access control systems? | | 0 |
| 10.1.5. | Are trucks fitted with an engine starting control system? | | 1 |
| 10.1.6. | Are trailers irrespective of the type fitted with security device(s) preventing theft when they are decoupled? | | 0 |
| 10.1.7. | Where applicable, has the company developed and implemented security provisions for transport of sensitive products (high value products, explosive precursors, chemical weapons precursors or illicit drug precursors)? <i>Assessor: Kein Transport von sensiblen Produkten. No transport of sensitive products.</i> | | - |
| 10.1.8. | Is a procedure in place to ensure that security incidents regarding sensitive products are immediately reported to customers and authorities? <i>Assessor: Kein Transport von sensiblen Produkten. No transport of sensitive products.</i> | | - |
| 10.2. | Security during handling of High Consequence Dangerous Goods | | |
| 10.2.1. | Has a security plan been developed and implemented for High Consequence Dangerous Goods (HCDG) in accordance with section 1.10. of ADR? <i>Assessor: Kein Transport von HCDG. No transports of HCDG's</i> | RC | - |
| 10.2.2. | Does the company have measures to monitor the movement of HCDG whilst in transit? <i>Assessor: Kein Transport von HCDG. No transports of HCDG's</i> | | - |
| 10.2.3. | Are all fully loaded freight containers, tank containers, truckload and railcars containing HCDGs, sealed and the seal numbers provided separately (electronically or on paper)? <i>Assessor: Kein Transport von HCDG. No transports of HCDG's</i> | | - |
| 10.2.4. | Are seal discrepancies for HCDG investigated thoroughly, the shipment rejected if necessary, security personnel notified and extreme care taken if there is evidence of seal tampering? <i>Assessor: Kein Transport von HCDG. No transports of HCDG's</i> | | - |
| 10.2.5. | Are drivers (own and FIS) required to call-in periodically if there is no localization by Electronic Tracking and Tracing Tools (e.g. GPS) available? <i>Assessor: Kein Transport von HCDG. No transports of HCDG's</i> | | - |

| | | | |
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| 11. | Control of operations | | <input type="text" value="Y/N"/> |
| 11.1. | Customer Interface | | |
| 11.1.1. | Do you have information from the chemical customer to perform a safe collection/delivery? This should include as a minimum: | | |
| 11.1.1.1a. | - the split of responsibilities agreed between driver and operators at (un)loading site (BBS loading/unloading)? <i>Assessor: Bsp.: Rahmenverträge mit Chemieunternehmen. Example: framework agreements with chemical companies.</i> | | <input type="text" value="1"/> |
| 11.1.1.1b. | - handing in the ECD document? <i>Assessor: Durch alle Kunden gefordert. Demanded by all customers.</i> | | <input type="text" value="1"/> |
| 11.1.1.1c. | - site access requirements including PPE? - checking the leak proofness of the closing devices after (un)loading? - the documents accompanying the (un)loading process (before/during/after)? - equipment requirements? - cargo securing? | | <input type="text" value="1"/> |
| 11.1.2. | Is there a written proof of the management commitment to support the driver according to the "Best Practice Guidelines for Safe (Un)Loading of Road Freight Vehicles" <i>Assessor: Im Vorwort des Fahrerhandbuchs. In the foreword of the driver's manual.</i> | | <input type="text" value="1"/> |
| 11.2. | Planning and Communication | | |
| 11.2.1. | Order Planning and Processing | | |
| 11.2.1.1. | Is there a written procedure for transport order processing , segregation of goods and vehicle scheduling? | | <input type="text" value="1"/> |
| 11.2.1.2. | Has the company a documented process to control its services from loading point to delivery at the final consignee ? | | <input type="text" value="1"/> |
| 11.2.1.3. | Are all customer instructions and requirements followed through the complete supply chain? | | <input type="text" value="1"/> |
| 11.2.1.4. | Does the planning section communicate relevant information and instructions to the driver/subcontractor, including, but not limited to: - route criteria (including approved parking locations, tunnel codes)? - additional national transport regulations in other countries (for international transport)? - consignor/consignee details? - product compatibility (multi loads)? - product compatibility (previous loads)? - customer specific HSE requirements? <i>Assessor: Stichprobe im Assessment eingesehen. Sample seen in the assessment.</i> | | <input type="text" value="1"/> |
| 11.2.1.5. | Does the company have, for all journey's exceeding 4,5 driving hours, a Journey Risk Assessment system in place that supports the driver to manage his journey, via a documented Journey Plan that contains the authorized route, known route hazards, safe and secure vehicle parking and authorized rest stops? <i>Assessor: Keine Tourenpläne erstellt. No tour plans created.</i> | | <input type="text" value="0"/> |
| 11.2.1.6. | Are there written procedures in place to ensure that the maximum allowable weight in the various countries is not exceeded? <i>Assessor: Fahrerhandbuch. Drivers handbook.</i> | | <input type="text" value="1"/> |
| 11.2.1.7. | When drivers are requested by consignors or consignees to draw a sample from the top of the vessel, is there a written procedure to provide feedback to the consignor or consignee, and that this communication is followed up? | RC | <input type="text" value="1"/> |
| 11.2.1.8. | When drivers are requested by consignors or consignees to work on top of the vessel and no (proper) fall protection is available, is there a written procedure to provide feedback to the consignor or consignee, and that this communication is followed up? | RC | <input type="text" value="1"/> |
| 11.2.1.9. | When drivers are requested by consignors or consignees to discharge a bulk truck or container directly into Drums or IBCs, is there a written procedure to provide feedback to the consignor or consignee and that this communication is followed up? | RC | <input type="text" value="1"/> |
| 11.2.1.10. | Do you receive and forward to all of your supply chain partners all the necessary instructions for multimodal shipments? | | <input type="text" value="-"/> |
| 11.2.2. | Tank Cleaning | | |
| 11.2.2.1. | Have all cleaning stations of tankers/tank containers been assessed against SQAS for Cleaning Stations (or equivalent assessment system)? | RC | <input type="text" value="1"/> |
| 11.2.2.2. | Has the company analysed the assessment reports of the cleaning stations used and agreed an improvement action plan, with defined | RC | <input type="text" value="0"/> |

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| 11.2.2.3. | responsibilities? Is it ensured that all the cleaning stations used have permits for the products cleaned? | RC | 1 |
| 11.2.2.4. | Is there evidence that relevant information about the previous load is provided to the cleaning station as a formal order? | | 1 |
| 11.3. | Operations | | |
| 11.3.1. | Driver instructions (Driver Manual) | | |
| 11.3.1.1. | Is there a drivers manual that is distributed to all drivers (own and FIS) in a language they can understand? | | 1 |
| 11.3.1.2. | Have drivers (own and FIS) been trained in the content of the drivers manual? | | 1 |
| 11.3.1.3. | Is the drivers manual updated regularly? | | 1 |
| 11.3.1.4. | Are there detailed instructions in the available driver manual regarding the following topics: - BBS principles - incident and near miss reporting - use of seat belt - use of company or private mobile phone - use of drugs and alcohol - actions to be taken in an emergency - security - inspection prior to loading - loading procedures - prescribed documentation, including instructions in writing, is on board - safety equipment required by legislation - after loading, verification that the vehicle and load have no obvious defects, leakages, cracks, missing equipment - after loading, verification that the vehicle is not overloaded - after loading, verification that danger labels and markings (orange plates) prescribed for the vehicles, have been affixed (ADR and IMDG goods) - operating/driving restrictions during bad weather conditions - actions to be taken if, during the journey, an infringement which could jeopardize the safety of the transport, is observed (ADR goods) - unloading procedures - observation of instructions/practices at loading and unloading sites and reporting of unsafe conditions - use of wheel chocks (to avoid uncontrolled vehicle movement) - defect reporting and rectification system - pre-start checklist - use of standard PPE - fall arrest harness - PPE for special products - entry into confined space - if the container is used for bulk solids, is it tipped in stages, e.g., one ram at the time, to prevent product surge? <i>Assessor: Alle Details waren ausreichend beschrieben.</i> <i>All details were adequately described.</i> | OCS | 1 |
| 11.3.1.5. | Does the drivers manual contain, in addition, specific detailed instructions for BULK GOODS, regarding: - visual inspection of tanks, valves and hoses for cleanliness? - correct hose connection and valve operation? - correct operation of any transfer equipment? - equipotential electrostatic bonding/earthing? - the use of correct equipment to tighten couplings? - a check on gaskets and seals prior to use? <i>Assessor: Teil des Fahrerhandbuches bzw. gesonderter Anlagen zum Fahrerhandbuch.</i> <i>Part of the driver's handbook or separate appendices to the driver's handbook.</i> | | 1 |
| 11.3.1.6. | Does the drivers manual contain, in addition, specific detailed instructions for PACKAGED GOODS, regarding : - inspection of the cargo compartment for cleanliness and potential risks (e.g. nails) ? - stowage and cargo securing ? - product compatibility and segregation ? | | - |
| 11.3.2. | Pre-Start Checks | | |
| 11.3.2.1. | Is a pre-start list filled in by the driver including the following items: | | |
| 11.3.2.1a. | - inspection of vehicle for damage? | | 1 |
| 11.3.2.1b. | - lubricating oil level and pressure check? | | 1 |
| 11.3.2.1c. | - brake operation? | | 1 |

| | | | |
|----------------|--|-----|---|
| 11.3.2.1d. | - condition of tyres? | | 1 |
| 11.3.2.1e. | - lights? | | 1 |
| 11.3.2.1f. | - inspection of vehicle for leakage? | | 1 |
| 11.3.2.1g. | - tightness of wheel nuts? | | 1 |
| 11.3.2.1h. | - fire extinguishers? | | 1 |
| 11.3.2.1i. | - every PPE required? <i>Assessor: Im Detail aufgeführt. Listed in detail.</i> | | 1 |
| 11.3.2.1j. | - wheel chocks ? | | 1 |
| 11.3.2.1k. | - eye wash bottles? | | 1 |
| 11.3.2.1l. | - drain seal and absorption material? | | 1 |
| 11.3.2.1m. | - emergency remote controls on bottom valve? | | 0 |
| 11.3.2.1n. | - no cracks in the front wind screen | | 0 |
| 11.4. | Administration | | |
| 11.4.1. | Controls of drivers | | |
| 11.4.1.1. | Is the driver (own and FIS) required to keep and to sign a daily worksheet that includes that the vehicle is fit for purpose? <i>Assessor: Arbeitszettel werden nicht geführt. Abfahrtskontrolle über elektronisches System. Job slips are not kept. Departure control via electronic system.</i> | | 0 |
| 11.4.1.2. | Is there a system that checks on the maximum number of driving hours and minimum rests of driver by day/week/fortnight ? | | 1 |
| 11.4.1.3. | Does the company have a system to restrict the driver's use of communication devices during moving (including message sending, mobile phone, GPS)? | | 0 |
| 11.5. | Temporary storage and internal transfer of packaged goods | | |
| 11.5.1. | Does the company have a specific written procedure for the transfer and temporary storage of goods? | | - |
| 11.5.2. | Are all goods on site stored and segregated as per legal requirements and are the correct product details available during internal transfer and temporary storage? | RC | - |
| 11.5.3. | Have the employees involved in the transfers of goods received appropriate training and have adequate personal protection? | | - |
| 11.5.4. | Is the transfer and temporary storage of liquid materials carried out in an area with an impervious surface? | | - |
| 11.5.5. | Is there a written procedure for cargo securing according to the guidelines? | | - |
| 11.6. | Transport of dry products including plastics and polymers | | |
| 11.6.1. | Is there a written procedure in place which requires the driver to verify if, during and after loading and unloading, lost pellets are properly removed from the outside of the transport equipment before leaving the loading/unloading site? | OCS | - |
| 11.6.2. | Is equipment to contain and clean up pellets available on the truck? | OCS | - |
| 11.6.3. | Does the driver have instructions that require pellets to be put in closed containers or bags for proper disposal? | OCS | - |
| 11.6.4. | In case of transportation of pellets in bulk tanks, does the driver have instructions that the bottom manhole/cone of the silo tank should not be opened before entering the cleaning bay? | | - |
| 11.6.5. | Does the driver have instructions which prohibits the replacement of the container liner in any public area? | OCS | - |
| 11.6.6. | Are there written instructions and precautions that the driver must take into account when unloading polymers in bulk? | | - |
| 11.6.7. | Are there written instructions and precautions that the driver must take into account when unloading bulk chemicals by tipping of Silo trucks/ Trailers, Silo Containers and bag-in-box containers? | | - |
| 11.6.8. | If rotary valve is used for discharge: is it fitted with an interlocked safety guard to prevent access when the blades are in motion? | | - |
| 11.6.9. | Are all twist locks checked before loading/discharge? | | - |
| 11.6.10. | Is the electrical resistance to earth of the earthing wire less than 10 ohms? | | - |

| | | | Y/N |
|--------------------|---|----|-----|
| 12. | Specific types of Transport Services and their activities | | |
| 12.1. | Transfer Terminal for Container/Vehicle operations | | |
| 12.1.1. | Does the assessed company have the correct licenses to store and handle any hazardous contents of the transport units intended to be sent there? | | - |
| 12.1.2. | Does the terminal meet the customer's and/or the industry specific security requirements? | | - |
| 12.1.3. | Does the terminal's rolling and lifting equipment meet the national legal requirements? | | - |
| 12.1.4. | Is there a documented programme for preventive inspection and maintenance for cranes, rolling and lifting equipment? | | - |
| 12.1.5. | Is there a documented programme for the training of drivers/operators of cranes, rolling and lifting equipment? | | - |
| 12.1.6. | Is there a segregation plan applied when storing shipping containers? This must include loaded containers, empty uncleaned containers and empty clean containers. | | - |
| 12.1.7. | Is traffic adequately managed (signs, road marks, flow directions, speed limits) and enforced? | | - |
| 12.1.8. | Are effective systems in place to ensure that no unauthorized persons are present in container handling areas? | | - |
| 12.1.9. | Is a maximum stack height of tank containers/ containers defined in a written procedure and enforced? | RC | - |
| 12.1.10. | Are unaccompanied transferred units visually inspected for leaks and damage, both on arrival/departure through the EIR (Equipment interchange Receipt) and at regular intervals when temporarily stored? | RC | - |
| 12.1.11. | Is there a containment system for leaks and spillages, which also allows for isolation from site drainage? | | - |
| 12.1.12. | Is a system in place to follow-up the periodical test dates of tanks approved for the transport of dangerous goods? | | - |
| 12.1.13. | Is there a system to monitor the entry and movement of vehicles on the terminal? | | - |
| 12.1.14. | Is there proper fall protection available to work safely on top of tank containers to install portable handrails? | | - |
| 12.1.15. | Is the floor where the containers are stored impervious to prevent the possible spills draining through the ground/groundwater? | | - |
| 12.1.16. | Is there a procedure requiring regular documented inspection rounds in order to detect deficient flooring? | | - |
| 12.1.17. | Does the site have a skid, mobile unit or bunded segregated area to manage the small spillages which cannot be stopped or contained by absorbent materials, etc.? | | - |
| 12.1.18. | For large spillages and significant loss, does the site have a location or equipment that could hold the "total lost" volume of a container? | | - |
| 12.1.19. | Is a written procedure present to evaluate all specific customers' requirements regarding the transfer and temporary storage of goods? | | - |
| 12.2. | Container depot | | |
| 12.2.1. | General Site Operations | | |
| 12.2.1.1. | Licenses and storage capacity | | |
| 12.2.1.1.1. | Does the assessed company have the correct licenses to store transport units containing any (hazardous) cargo? | | - |
| 12.2.1.1.2. | Does the assessed company have a procedure to check that the storage capacity is in line with the license? | | - |
| 12.2.1.2. | Registration of a Product on Site and Entrance Check | | |
| 12.2.1.2.1. | Is there a procedure for assessing a product not previously stored on site upon arrival that evaluates the safe handling of the unit, including the correct licenses to store and handle it? | | - |
| 12.2.1.2.2. | For storage of containers carrying products not registered before, does the company know the following information? - SDS (preferably local language(s) of storage(s) and/or English) - Gross Weight - Type of shipping unit | | - |
| 12.2.1.2.3. | When any container arrives to the terminal, is there a system to check and register: | | |
| 12.2.1.2.3a. | Visual technical check of the ITU (Intermodal Transport Unit) conditions on/of: - leakage (leaking unit) - visual deformations of the transport unit - container type | | - |
| 12.2.1.2.3b. | Visual formal check of the container conditions on/of: - container state (loaded/ unloaded/ cleaned) | | - |

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|--------------------|--|---|
| | - properly labelled and marked according to legislation/ regulations (ADR/IMDG) (see guideline of this question) | |
| | - seals and seal numbers | |
| | - container number | |
| | - data plate | |
| 12.2.1.2.3c. | Special storage conditions from customers? | - |
| 12.2.1.3. | Security | |
| 12.2.1.3.1. | Does the terminal meet the customer's and/or the industry specific security requirements? | - |
| 12.2.1.4. | Housekeeping | |
| 12.2.1.4.1. | Is the housekeeping acceptable? | - |
| 12.2.1.5. | Competencies and Training | |
| 12.2.1.5.1. | Is there a documented programme for the training of drivers/operators of cranes, rolling and lifting equipment? | - |
| 12.2.1.6. | Human Behaviour and Behavioural Based Safety (BBS) | |
| 12.2.1.6.1. | Are drivers/operators of cranes, rolling and lifting equipment included in the BBS programme required by section 8. of this questionnaire? | - |
| 12.2.2. | Storing of Containers | |
| 12.2.2.1. | Segregation | |
| 12.2.2.1.1. | Is there a segregation plan applied when storing shipping containers? This must include loaded containers, empty uncleaned containers and empty clean containers | - |
| 12.2.2.1.2. | Are the segregation rules included in the training programme? | - |
| 12.2.2.1.3. | Are the segregation rules visible for external viewers? | - |
| 12.2.2.2. | Container Stacking | |
| 12.2.2.2.1. | Is a maximum stack height of tank containers/ containers defined in a written procedure and enforced? | - |
| 12.2.2.2.2. | Is there a procedure defining the stacking taking into account the weather conditions and the fact that the containers are loaded/unloaded? | - |
| 12.2.2.3. | Flooring | |
| 12.2.2.3.1. | Does the floor where the containers are stored include at least one impervious layer to prevent the possible spills draining through the ground/groundwater? | - |
| 12.2.2.3.2. | Is there a procedure requiring regular documented inspection rounds in order to detect deficient flooring? | - |
| 12.2.3. | Equipment | |
| 12.2.3.1. | Equipment Selection and Specification | |
| 12.2.3.1.1. | Does the terminal's rolling and lifting equipment meet the national legal requirements? | - |
| 12.2.3.2. | Inspection and Maintenance of Equipment | |
| 12.2.3.2.1. | Is there a statutory inspection programme for the cranes, rolling and lifting equipment? | - |
| 12.2.3.2.2. | Is there a documented programme for preventive maintenance for cranes, rolling and lifting equipment? | - |
| 12.2.3.2.3. | Is there a daily check list filled in covering the status of the equipment? | - |
| 12.2.4. | Container Operations | |
| 12.2.4.1. | Internal transport and On-Site traffic | |
| 12.2.4.1.1. | Is traffic adequately managed (signs, road marks, flow directions, speed limits) and enforced? | - |
| 12.2.4.1.2. | Is there a system to monitor the entry and movement of vehicles on the terminal? | - |
| 12.2.4.1.3. | Are there written instructions for: | |
| 12.2.4.1.3a. | - the terminal staff and third-party people defining where third-party people are allowed and where not? | - |
| 12.2.4.1.3b. | - Zones where PPE must be used | - |
| 12.2.4.2. | Safe handling | |
| 12.2.4.2.1. | Is there a procedure describing the safe handling practices that must be complied with? | - |
| 12.2.4.2.2. | Is a written procedure present to evaluate all specific customers' requirements regarding the transfer and temporary storage of goods? | - |
| 12.2.4.3. | Inspection and Maintenance of Containers | |
| 12.2.4.3.1. | Is a system in place to follow-up the periodical test dates of tanks approved for the transport of dangerous goods? | - |
| 12.2.4.3.2. | Is there proper fall protection available to work safely on top of tank containers? | - |
| 12.2.4.4.1. | Are there written procedures/instructions for heating or cooling of tanks, including: | |
| 12.2.4.4.1a. | - initial product inquiry? | - |
| 12.2.4.4.1b. | - product acceptance? | - |

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| 12.2.4.4.1c. | - required competence to establish a new heating or cooling instruction? | - |
| 12.2.4.4.1d. | - controls on temperature devices? | - |
| 12.2.4.4.1e. | - a check list used to assure that the procedure is followed? | - |
| 12.2.4.4.2. | Does the operator receive the required instructions before connecting the tank to the heating or cooling system, including: | |
| 12.2.4.4.2a. | - mode of heating? | - |
| 12.2.4.4.2b. | - maximum contact temperature? | - |
| 12.2.4.4.2c. | - maximum working pressure of steam coils? | - |
| 12.2.4.4.2d. | - regular checking of product temperatures? | - |
| 12.2.4.4.2e. | - personal protective equipment? | - |
| 12.2.4.4.2f. | - the use of the dip thermometer for checking the product temperature , if allowed by the product properties and the shipper? | - |
| 12.2.4.4.3. | A proper provision in place to work at height at the facility in case of the use of dip stick thermometers? | - |
| 12.2.4.4.4. | Is the temperature monitoring device interlock with the heating source? | - |
| 12.2.4.4.5. | If containers are cooled or heated, is an emergency procedure triggered in case of malfunction of the cooling/heating system? | - |
| 12.2.4.4.6. | Is supervision assured when heating/cooling overnight or during weekends? | - |
| 12.2.4.4.7. | Are records kept on each operation, including the temperature progress? | - |
| 12.2.4.4.8. | Is there a system to prevent the mixture of heating commodities? | - |
| 12.2.4.4.9. | Is the operation done according to the requirements of question 12.2.2.3.1.? | - |
| 12.2.4.4.10. | Is there a procedure to inspect the tank after heating/cooling and before departure? | - |
| 12.2.4.4.11. | In case of change in the equipment of the heating/cooling unit, has a management of change risk assessment (MOC) being carried out? | - |
| 12.2.4.4.12. | Has the company communicated the outcome of the MOC risk assessment to people involved in the operation, in case that the risk is changing? | - |
| 12.2.4.5. | Sample taking | |
| 12.2.4.5.1. | If sampling is performed, is there a procedure to carry out the operation? | - |
| 12.2.5. | Emergency response & Spill Preparedness | |
| 12.2.5.1. | Containment of spills | |
| 12.2.5.1.1. | Is there a containment system for leaks and spillages, which also allows for isolation from site drainage? | - |
| 12.2.5.1.2. | Does the site have a skid, mobile unit or bunded segregated area to manage the small spillages which cannot be stopped or contained by absorbent materials etc.? | - |
| 12.2.5.1.3. | For large spillages and significant loss, does the site have a location or equipment that could hold the "total lost" volume of a container? | - |
| 12.2.5.2. | Natural Disasters/ Climatological and Geographical Risk | |
| 12.2.5.2.1. | Is there a risk assessment covering natural Disasters and or Climatological and Geographical Risks? | - |
| 12.2.6. | Equipment release Controls | |
| 12.2.6.1. | Is there a process to validate the condition of equipment as released by the facility, to be taken by the collecting party? | - |
| 12.2.6.2. | As part of 12.2.6.1., does the facility take pictures of the container in the release process? | - |
| 12.2.6.3. | With the "In bound" inspections, 12.2.1.2.3.b. , this included the CSC data plate for validity; Is the CSC date plate checked to ensure 'in date & valid' before release? | - |
| 12.2.6.4. | Does the facility have a process to manage e.g., special exit inspections, temperature checks, pressure checks or such like in the release of the container? | - |
| 12.2.6.5. | Regulatory Compliance. | |
| 12.2.6.5.1. | Does the facility have a process to check the statutory test date of the container at the point of release from the facility? | - |
| 12.2.6.5.2. | Does the facility have a system to check hazardous cargo transport documents, placards and labelling in compliance with regulations? | - |
| 12.2.6.5.3. | Does the facility have a process to check the driving license of the collecting driver in relation to ADR? | - |
| 12.2.6.5.4. | Does the facility have a process to check that the transport equipment is in compliance with ADR? | - |
| 12.2.6.6. | Release checks and procedures. | |
| 12.2.6.6.1. | Does the facility have a process to verify if the collecting party is authorized to collect and remove the container from the facility? | - |
| 12.2.6.6.2. | Is there a process to check visually or physically that all closures are | - |

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| | secure to prevent release of product from the transport unit? Including check that there are no residues of material on the outside of the container. | |
| 12.2.6.6.3. | Where there are "seals or security tags" on the container, is there a process to verify that these are documented, intact and match with the original check, or has been agreed by any customer should these have been removed or changed? | - |
| 12.2.6.6.4. | Does the facility have a system or process to record the release of containers from their facility? | - |
| 12.2.6.7. | Cargo Documentation. | |
| 12.2.6.7.1. | Is there a process to ensure any documentation presented with the container on arrival is returned as required or instructed at the moment of collection? | - |
| 12.2.7. | Measurement and Management of greenhouse gas (GHG) emissions | |
| 12.2.7.1. | Scope 1: Emission measurement of fuel consumed | |
| 12.2.7.1.1. | Does the company know the fuel consumed on an annual basis? | - |
| 12.2.7.1.2. | Did the company calculate the emissions TTW from the fuel consumed during the last year using the formula: kg CO ₂ e = Σ (fuel (liters) × TTW fuel emission factor (kg CO ₂ e/ liters fuel))? | - |
| 12.2.7.2. | Scope 2: Emissions from electricity | |
| 12.2.7.2.1. | Did the company measure the electricity purchased for use in the electric vehicles, or other owned asset requiring electricity? | - |
| 12.2.7.2.2. | Did the company calculate the emissions from the purchased electricity WTT required in 12.2.7.2.1. during last year with the formula: kg CO ₂ e = Σ (electricity (kWh) × electricity emission factor (kg CO ₂ e/ kWh electricity)) | - |
| 12.2.7.3. | Scope 3 | |
| 12.2.7.3.1. | Did the company calculate the absolute emissions WTT from the fuel consumed during the last year using the formula? kg CO ₂ e = Σ (fuel (liters) × WTT fuel emission factor (kg CO ₂ e/ liters fuel))? | - |
| 12.2.7.4. | Calculation of Total emissions (Scope 1, 2 and 3) | |
| 12.2.7.4.1. | Did the company calculate the Total emissions during last year by adding the emissions from Scope 1, 2 and 3? | - |
| 12.2.7.5. | Consolidating and reporting emissions | |
| 12.2.7.5.1. | Does the company consolidate in a report the total annual emissions in the following form? - Scope 1 (question 12.2.7.1.2.) - Scope 2 (question 12.2.7.2.2.) - Scope 3 (question 12.2.7.3.1.) Total emissions (question 12.2.7.4.1.) | - |
| 12.2.7.6.1. | Defining strategy, objectives and programme | |
| 12.2.7.6.1.1. | Has the company defined a strategy to reduce its GHG emissions, based on the measurements made in 12.2.7.4.1. (total emissions)? | - |
| 12.2.7.6.1.2. | Has the company defined the objectives to reduce total emissions, based on the measurements made in 12.2.7.4.1. in a multiannual programme? | - |
| 12.2.7.6.1.3. | Does the assessed company have a multiannual programme to reach the objectives mentioned in 12.2.7.6.1.2.? | - |

| | | Y/N |
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| 13. | Site Inspection and Site operations | |
| 13.1. | Site inspection | |
| 13.1.1. | Is the site properly secured with fences and gates, well lit and not accessible to the general public ? <i>Assessor: 3 Seiten durch Wassergräben begrenzt. Bounded on 3 sides by moats.</i> | 0 |
| 13.1.2. | Is there a system to monitor the entry and movement of vehicles on site? | 0 |
| 13.1.3. | Are emergency exits marked on buildings and unblocked? | 1 |
| 13.1.4. | Are signs for site identification and public safety in place? | 1 |
| 13.1.5. | In the event of an emergency, is there an assured method for safe evacuation of all personnel and is this publicly displayed? | 1 |
| 13.1.6. | Is the emergency assembly point clearly displayed? | 1 |
| 13.1.7. | Is there a site lighting system? | 1 |
| 13.1.8. | Is the site paved according to the requirements and the activities that are taking place? <i>Assessor: Einige Abstellbereiche nicht befestigt. Some storage areas not paved.</i> | 0 |
| 13.1.9. | Is the condition of roadways and parking area of an acceptable and safe standard? | 1 |
| 13.1.10. | Are there designated walkways away from truck traffic? <i>Assessor: Teilweise gekennzeichnet. Partly marked.</i> | 0 |
| 13.2. | Site operations | |
| 13.2.1. | Is there a documented programme for preventive inspection and maintenance covering the following items: | |
| 13.2.1a. | - site compressed air system? | 1 |
| 13.2.1b. | - storage tanks (including fuel)? <i>Assessor: Altöltank-doppelwandig. Waste oil tank double-walled</i> | 0 |
| 13.2.1c. | - electrical installation? | 1 |
| 13.2.1d. | - fall protection equipment? <i>Assessor: Für Ausrüstung der Fahrer. For driver equipment.</i> | 1 |
| 13.2.1e. | - other equipment subject to regulatory requirements such as elevators, forklift trucks, hoisting equipment, emergency equipment and installations, ...? <i>Assessor: Feuerlöscher, Erste-Hilfe-Kästen, Schweißgeräte</i> | 1 |
| 13.2.2. | Are there comprehensive written procedures at the facility including work permit requirements, to ensure safety and to avoid exposure to hazardous materials, for the following operations: | |
| 13.2.2a. | - entry into confined spaces? | 0 |
| 13.2.2b. | - breaking of containment (pumps/compressors/ lines)? | 0 |
| 13.2.2c. | - hot work? | 0 |
| 13.2.2d. | - work on electrical circuits/equipment (lock out system)? | 0 |
| 13.2.3. | Are contractors, working on site other than logistics service contractors, provided with relevant health, safety, security, environmental and CSR information to ensure that on site services are performed safely? | 0 |
| 13.2.4. | Are there also comprehensive written procedures / instructions at the facility for the following operations: | |
| 13.2.4a. | - clean up and disposal of chemical spillages? <i>Assessor: In verschiedenen Betriebsanweisungen dargestellt. Shown in various operating instructions.</i> | 1 |
| 13.2.4b. | - parking segregation for vehicles carrying different classes of hazardous product? | 0 |
| 13.2.4c. | - safe loading/unloading practices? | 1 |
| 13.2.4d. | - cargo securing? <i>Assessor: Tanktransporte von Flüssigkeiten. Transport of liquids in tanks.</i> | 1 |
| 13.3. | Maintenance workshop | |
| 13.3.1. | Are eyewash bottles and safety shower systems available in determined areas within the work area? | 1 |
| 13.3.2. | Are caution signs installed (no smoking, eye protection, helmet, etc.) and are staff using the required personal protection equipment? | 1 |
| 13.3.3. | Is a fall restraint system in place for workshop operators who carry out repair activities on top of tanks or (tank)containers? | 0 |
| 13.4. | Bulk Storage Tanks (Fuel, Fuelling Area and Waste Storage) | |
| 13.4.1. | Are the storage facilities approved for the goods stored, identified/labelled accordingly, monitored and maintained? | - |
| 13.4.2. | Is explosion-proof equipment installed if handling flammables ? | - |

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| 13.4.3. | Is an impervious floor in place at the fuelling area? | - |
| 13.5. | Vehicles and other equipment (trailers, tank containers, IBC's etc.) | |
| 13.5.1. | Are the following items on the vehicles and equipment of an acceptable standard: | |
| 13.5.1a. | - condition of the tyres? <i>Assessor: Guter Zustand an den besichtigten Fahrzeugen. Ausschließlich Neureifen im Einsatz. The vehicles inspected were in good condition. Only new tires in use.</i> | 1 |
| 13.5.1b. | - documentation in the cab? | 1 |
| 13.5.1c. | - condition of cargo securing devices ? | - |

| | | Y/N |
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| 14. | Handling practices of Food, Food contact Materials and Feed Products | |
| 14.1. | Is the company applying GMP, GMP+ and/or HACCP principles to the operations? | |
| 14.1.1. | Are there GMP/GMP+/HACCP (or similar) principles as part of the quality system? | - |
| 14.1.2. | Is there an adequate contamination and degradation prevention written procedure implemented and maintained based upon a risk assessment? | - |
| 14.1.3. | Does the management of change procedure consider the impact of changes on the final product quality, performance, composition and regulatory compliance status? | - |
| 14.2. | Does the company's personnel policy comply with the special requirements for the handling of Food, Food Contact Materials/Animal Feed Products? | |
| 14.2.1. | Has the company qualified employees (including administrative personnel) according to a written criteria for the operations of Food, Food Contact Materials/Animal Feed Products? | - |
| 14.2.2. | Have all (including administrative) personnel, involved in the handling and distribution of Food, Food Contact Materials/Animal Feed products been made aware of the health risks? | - |
| 14.2.3. | Is there a person with the specific responsibility, the appropriate education and the appropriate authority to deal with Food, Food (contact) - Feed issues in your company? | - |
| 14.3. | Are traceability and product conformity issues sufficiently implemented in all processes? | |
| 14.3.1. | Is the company able to provide full traceability on product origin and product destination and its own operations? | - |
| 14.4. | Are there written procedures in place and documentation available to ensure consistency of product quality? | |
| 14.4.1. | Is it ensured that bulk transport equipment and containers received and delivered are properly sealed (if so required)? | - |
| 14.4.2. | Are banned lists for particular products available? | - |
| 14.5. | Are there appropriate precautions taken to avoid cross-contaminations and degradation during operations? | |
| 14.5.1. | Is it ensured that contamination/cross contamination through transport equipment is prevented? | - |
| 14.5.2. | Is the water and the disinfection products that comes into contact with the food, food contact materials/animal feed materials of a proven suitable quality? | - |
| 14.5.3. | Is each piece of equipment designed and used in a manner that minimizes the potential for contamination or degradation of the product with lubricants, coolants, metal fragments, or other extraneous materials e.g. from pressurized air? | - |
| 14.6. | Are there adequate and appropriate hygiene measures maintained? | |
| 14.6.1. | Are sufficient hygiene measures documented, implemented, validated and maintained for personnel, cleaning, warehouses and transportation? | - |
| 14.7. | Are written procedures in place for product complaint handling, product recall and incident management? | |
| 14.7.1. | Is there a product complaint handling/non conformity procedure? | - |
| 14.7.2. | Is there a product recall procedure? | - |
| 14.7.3. | Is the product recall procedure tested? | - |
| 14.8. | Are written procedures in place for internal audits? | |
| 14.8.1. | Is there a documented plan for internal auditing of all areas, referenced to the GMP/GMP+ and HACCP questionnaire? | - |
| 14.9. | Are appropriate loading and unloading written procedures in place? | |
| 14.9.1. | Is there a procedure in place that requires the driver/operator to open only one tanklid at a time during loading? | - |
| 14.10. | Is the entire equipment in contact with products designed to protect product quality? | |
| 14.10.1. | Is the loading equipment in contact with products dedicated, or, are validated cleaning procedures applied between loadings? | - |
| 14.10.2. | Is the unloading equipment in contact with products dedicated, or are validated cleaning written procedures applied between unloadings? | - |
| 14.10.3. | Is all the equipment in contact with products identified ? | - |
| 14.10.4. | Is all the equipment in contact with products capped and/or properly stored after the operation, according to written procedures? | - |
| 14.10.5. | Does the assessed company seal all valves and openings after loading? | - |

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| 14.10.6. | <u>Does the assessed company seal all valves and openings after cleaning?</u> | <input type="text" value="-"/> |
| 14.11. | <u>Are there appropriate written procedures in place in relation to Animal Feed?</u> | |
| 14.11.1. | <u>Is there a written procedure in place for the cleaning regime in accordance with the GMP+ Animal Feed product database requirements?</u> | <input type="text" value="-"/> |
| 14.11.2. | <u>Is there a written procedure in place on how to work with the GMP+ Animal Feed Product Database and its updates?</u> | <input type="text" value="-"/> |
| 14.11.3. | <u>Is there a written procedure in place for the order planning in accordance with the GMP+ Animal Feed product database requirements?</u> | <input type="text" value="-"/> |
| 14.11.4. | <u>Is there a written procedure in place to establish the Animal Feed product category of a new product to be transported?</u> | <input type="text" value="-"/> |
| 14.11.5. | <u>Does the company have a written procedure in place to follow the GMP+ Animal Feed required steps, that would allow the re-use of cargo compartments, incl. tanks, after the carriage of any product included in the list of forbidden products?</u> | <input type="text" value="-"/> |



Full Report: 90501 (Active)
Companyname: Walter Köllner GmbH Spedition
Location: Stade-Bützfleth (Germany)
Website: www.spedition-koellner.de

Module: Transport Service
Re-assessment: 10-01-2023 by Zerbach, T.
Expires on: 10-01-2026
Company type: Stand-alone, More than 50 employees

Comment of assessor:

Comments of assessed company:



Full Report: 90501 (Active)
Companyname: Walter Köllner GmbH Spedition
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Module: Transport Service
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Expires on: 10-01-2026
Company type: Stand-alone, More than 50 employees

Improvement Action Program:

Website reference: ---

Updated on: